

Uffculme Academy Trust
Uffculme School and Uffculme Primary School

Complaints Policy

April 2016

Approved by The Main Board on:

29th April 2016

Next review date April 2021

Complaints Procedure

Introduction

Uffculme Academy Trust has adopted this procedure to allow parents/carers of students attending schools within the Trust to raise a concern or complaint relating to a school. We will also usually follow this procedure when dealing with complaints from others but reserve the right to substitute this procedure for an alternative process where it is appropriate to do so.

This procedure does not apply to concerns and complaints relating to the following, which are dealt with under separate policies:

- exclusions;
- admissions;
- appeals relating to internal assessment decisions for external qualifications;
- complaints about statements of SEN/ EHC Plans;
- grievances or disciplinary issues relating to members of staff; or
- issues related to child protection.

Uffculme Academy Trust is dedicated to providing the best possible education and support for all its students. This means having a clear, fair and efficient procedure for dealing with any complaints to or against the Trust or its schools, so that any issues that arise can be dealt with as swiftly and effectively as possible.

Uffculme Academy Trust welcomes feedback on the services it provides. Should anyone be unhappy with any aspect of the Trust, it is important that the Trust learns about this.

All Trust staff will be made aware of this procedure and are expected to review this policy regularly in order that they are familiar with our process of dealing with complaints and can be of assistance when an issue is brought to their attention.

Understanding this procedure

In order to investigate your complaint as fully as possible, we have implemented a staged approach. We anticipate that almost all complaints that arise will be resolved at Stage 1 or Stage 2 below.

We expect our members of staff to be addressed in a respectful manner and for communication to remain calm at all times. The procedure under Part 2 will only be used on very rare occasions to deal with unreasonably persistent complainants or unreasonable complainant behaviour.

To enable a proper investigation, concerns or complaints should be brought to the attention of the Trust as soon as possible. In general, any matter raised more than 3 months after the event being complained of will not be considered.

An anonymous concern or complaint will not be investigated under this procedure unless there are exceptional circumstances.

If it becomes necessary to alter the time limits and deadlines set out within this procedure, you will be advised accordingly and given an explanation as to why this has been the case and provided with revised timescales.

In this procedure:

- 'School days' excludes weekends and school holidays;
- 'Parent' means a parent, carer or anyone with legal responsibility for a child.

PART 1: Complaints Procedure

Stage 1: Informal concerns

- a) Many enquiries and concerns can be dealt with satisfactorily by the class teacher, the head of year or other members of staff without the need to resort to the formal procedure. The Trust values informal meetings and discussions and encourages parents to approach staff with any concerns they may have, and aims to resolve all issues with open dialogue and mutual understanding.
- b) It is always helpful if you can fully explain the nature of the concern and identify the outcome you are looking for. You may be invited to an informal meeting with the member of staff most appropriate for dealing with that concern. The member of staff dealing with the concern will make sure that you are clear on what action (if any) has been agreed. This may be put in writing if appropriate.
- c) If the matter is brought to the attention of the Headteacher of a school within the Trust, he or she may decide to deal with your concerns directly at this stage.
- d) There is no suggested timescale for resolution at this stage given the importance of dialogue through informal discussion, although it would be expected that most issues will be resolved within 15 school days. Where no satisfactory solution has been found, you will be advised that if you wish your concerns to be considered further you should write to the Headteacher under Stage 2.

Stage 2: Formal Written Complaints

- a) If your concerns are not resolved under Stage 1 or you wish your concerns to be dealt with immediately as a formal complaint, you should put your complaint in writing (using the form in Appendix 1) and send this to the Headteacher.
- b) Your written complaint should include details which might assist the investigation, such as the nature of the complaint, details of how the matter has been dealt with so far, the names of potential witnesses, dates and times of events and copies of all relevant documents. It is very important that you include a clear statement of the actions that you would like the school to take to resolve your complaint.

- c) Your complaint will normally be acknowledged in writing within 5 school days of receipt. The acknowledgement will give a brief explanation of the Trust's complaints procedure and a target date for providing a response to the complaint. This will normally be within 15 school days of receipt.
- d) The Headteacher or someone appointed by them will usually invite you to a meeting to clarify your complaints and to explore possible resolutions. If you accept that invitation, you may be accompanied by one other person, such as a friend, relative, advocate or interpreter, to assist you. Where possible, this meeting will take place within 15 school days of receipt of the written complaint.
- e) If necessary, witnesses will be interviewed and statements taken from those involved. If the complaint centres on a student, the student should also be interviewed. Students should normally be interviewed with their parent present, but if this would seriously delay the investigation of a serious or urgent complaint or if the student has specifically said that s/he would prefer that their parents were not involved, another member of staff with whom the student feels comfortable should be present. If a member of staff is complained against, they must have the opportunity to present their case.
- f) Once all the relevant facts have been established as far as possible, you will be provided with a written response to the complaint, including a full explanation of the decision and the reasons for it. This will include what action the school will take to resolve the complaint (if any). You will be advised that if you are dissatisfied with the outcome of the complaint, you may request that your complaint be heard by the Complaints Committee of the Local Governing Body.
- g) If in the early stages of the investigation, the Headteacher considers that the complaint is best dealt with immediately at Stage 3, it will be passed to the Clerk to the Local Governing Body and you will be informed of this action without delay.

Stage 3: Referral to the Complaints Committee

- a) If you are dissatisfied with the decision under Stage 2, you may request that a Complaints Committee be convened to consider your complaint. Your request will only be considered if you have completed the relevant procedures at Stages 1 and 2.
- b) To request a hearing before the Complaints Committee, you should write to the Clerk to the Local Governing Body at the Trust's address within 10 school days of receiving notice of the outcome of Stage 2. You should ensure that you provide copies of all relevant documents and state all the grounds for your complaint and the outcome that you are looking for.
- c) Your written request will be acknowledged within 5 school days of receipt.
- d) The Clerk will arrange for a Complaints Committee to be convened, made up of two Governors with no prior involvement in the matter and one person who is

independent of the management and running of the Trust. The Clerk shall appoint one of these members to be the Chair of the Committee.

- e) Every effort will be made to enable the hearing to take place within 20 school days of the receipt of your request. As soon as reasonably practicable and in any event at least 5 school days before the hearing, you will be sent written notification of the date, time and place of the hearing, together with brief details of the committee members who will be present. Fair consideration will be given to any bona fide objection to a particular member of the committee. The notification will also inform you of your right to be accompanied to the meeting by a friend, relative, advocate or interpreter and explain how the meeting will be conducted. You should notify the Clerk in advance if you intend to bring anyone to the hearing. Legal representation will not be permitted.
- f) A copy of the complaint and any other documents provided by you in support of your complaint or by the Trust in defence of the complaint will be provided to the Complaints Committee as soon as practicable upon receipt. Copies of these documents shall also be provided to you and the Headteacher at least 3 school days before the hearing. The Complaints Committee reserves the right not to consider any documentation presented by either you or the Trust less than 3 school days prior to the hearing. The Complaints Committee is under no obligation to hear oral evidence from witnesses but may do so and/or may take written statements into account.
- g) The hearing will be conducted in such a way as to ensure that each party has the opportunity to address the Complaints Committee. The procedure to be followed during the hearing will be set out to the parties by letter in advance of the hearing. Unless otherwise stated, each party will be permitted to address the panel in turn without the presence of the other party and the Complaints Committee will then retire to consider their decision. The Clerk will ensure that sufficient notes are taken to record an accurate reflection of the points considered and any decisions taken or actions agreed.
- h) After the hearing, the Complaints Committee will consider their decision and inform you and the Headteacher of their decision in writing within 10 school days. The letter will set out the decision of the committee together with the reasons underpinning that decision. The committee can (by a majority if necessary):
 - Dismiss the complaint in whole or in part;
 - Uphold the complaint in whole or in part;
 - Decide on the appropriate action to be taken to resolve the complaint;
 - Recommend changes to the Trust's systems or procedures to ensure that problems of a similar nature do not happen again.

Variations to the process

If your concerns are about the Headteacher the stages will be dealt with as follows:

- Stage 1 - Informally by the Chair of the Local Governing Body
- Stage 2 - Formally by the Chair of the Local Governing Body
- Stage 3 - Formally by the Complaints Committee of the Main Board

If the complaint is about a Governor, you should contact the Chair of the Local Governing Body who will investigate the concerns in accordance with Stage 2.

If the complaint is about the Chair of the Local Governing Body you should contact the Vice Chair.

Stage 4: Referral of complaint to Education Funding Agency (EFA)

If you are dissatisfied with the decision of the Complaints Committee, you are entitled to refer your complaint to the Education Funding Agency (EFA) who has limited powers to review the handling of the complaint in accordance with EFA's 'Procedure for dealing with complaints about Academies'.

At the time of writing this procedure, the EFA procedure and the EFA school complaints form are available at:

https://form.education.gov.uk/submitform.php?self=1&form_id=cCCNJ1xSfBE&type=form&ShowMsg=1&form_name=Contact+the+Department+for+Education&noRegister=false&ret=%2Fmodule%2Fservices&noLoginPrompt=1

Records of complaints

A written record will be kept of all complaints, including at what stage they were resolved. Correspondence, statements and records relating to individual complaints will be kept confidential except where access is requested by the Secretary of State or where disclosure is required in the course of a Trust inspection or under other legal authority.

The Chair of the Local Governing Body will inform the full Local Governing Body at their next meeting when a complaint has been received, and the nature of the complaint. An update will be provided at the following meeting.

PART 2: Unreasonably persistent complainants and unreasonable complainant behaviour

There are rare circumstances where the Trust will deviate from the Complaints Procedure set out in Part 1. These include, but are not necessarily limited to:

- Where the complainant's behaviour towards staff or governors is unacceptable, for example, is abusive, offensive or threatening;
- Where, because of the frequency of their contact with the Trust or a school within it, the complainant is hindering the consideration of their or other people's complaints and/or the proper running of the Trust or that school;
- Where the complainant's complaint is clearly vexatious and/or has patently insufficient grounds;
- Where the complainant's complaint is the same, similar to or based on the same facts of a complaint which has already been considered in full by the Trust.

In these circumstances, the Trust may:

- Inform the complainant that their behaviour is unacceptable or unreasonably persistent and ask them to change it;
- Restrict the complainant's access to the Trust e.g. requesting contact in a particular form (for example, letters only), requiring contact to take place with a named person only, restricting telephone calls to specified days and times or banning the complainant from the Trust's premises;
- Conduct the Complaints Committee on the papers only i.e. not hold a hearing;
- Refuse to consider the complaint and refer the complainant directly to Stage 4.

In all cases we will write to tell the complainant why we believe his or her behaviour is unacceptable or unreasonably persistent, what action we are taking and the duration of that action.

Where the behaviour is so extreme that it threatens the immediate safety and welfare of staff or governors, we will consider other options, for example reporting the matter to the police or taking legal action. In such cases, we may not give the complainant prior warning of that action.

Appendix 1 – Uffculme Academy Trust Formal Complaint Form

Your name:	Student's name:
Your relationship to student:	
Your address and postcode:	Daytime telephone number:
	Evening telephone number:
Your email address:	
Full details of complaint (including the names of all persons involved and the dates of incidents referred to):	

What action, if any, have you already taken to try to resolve your complaint (for example, who did you speak to and what was the response)?

What would you like as an outcome from your complaint?

Are you attaching any paperwork? If so, please give details:

Your signature:

Date:

For office use:

Date received:

Date acknowledgement sent:

Person complaint referred to:

Please complete and return this form to the school office, addressed to the Headteacher, Chair/Vice-

Chair of Local Governing Body as appropriate.

All functions of the complaints procedure must adhere to the requirements of the Data Protection Act 1998 and the Freedom of Information Act 2000.